



<b>Audit Committee</b>	<b>Wednesday, 24 January 2024</b>	<b>Matter for Information</b>
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**Report Title:** **Internal Audit Progress Report Update (January 2024)**

**Report Author(s):** **Ruby Deo (Client Lead / Audit Manager)**

<b>Purpose of Report:</b>	To give an update on Internal Audit's progress on delivering the 2023/24 Audit Plan.
<b>Report Summary:</b>	The progress report includes details of the final reports for Fleet Management, Complaints Management and Governance, all of which received Significant Assurance. Terms of Reference have been developed for five other audits and a summary of the status of agreed actions has also been provided.
<b>Recommendation(s):</b>	<b>That the progress made in delivering the 2023/24 Audit Plan be noted.</b>
<b>Senior Leadership, Head of Service, Manager, Officer and Other Contact(s):</b>	<p>Sal Khan (Interim Strategic Director) (0116) 257 2690 <a href="mailto:sal.khan@oadby-wigston.gov.uk">sal.khan@oadby-wigston.gov.uk</a></p> <p>Bev Bull (Head of Finance / Interim Section 151 Officer) (0116) 257 2649 <a href="mailto:bev.bull@oadby-wigston.gov.uk">bev.bull@oadby-wigston.gov.uk</a></p> <p>Leanne Hawkes (Head of Internal Audit / Director 360 Assurance) 07545 423040 <a href="mailto:Leanne.Hawkes@nhs.net">Leanne.Hawkes@nhs.net</a></p> <p>Ruby Deo (Client Lead / Audit Manager) 07545 502399 <a href="mailto:Ruby.Deo@nhs.net">Ruby.Deo@nhs.net</a></p>
<b>Strategic Objectives:</b>	Our Council (SO1)
<b>Vision and Values:</b>	"Our Borough - The Place To Be" (Vision) Resourceful & Resilient (V4)
<b>Report Implications:-</b>	
Legal:	There are no implications directly arising from this report.
Financial:	There are no implications directly arising from this report.
Corporate Risk Management:	<p>Decreasing Financial Resources / Increasing Financial Pressures (CR1) Key Supplier / Partnership Failure (CR2) Reputation Damage (CR4) Effective Utilisation of Assets / Buildings (CR5) Regulatory Governance (CR6) Organisational / Transformational Change (CR8) Increased Fraud (CR10)</p>

Equalities and Equalities Assessment (EA):	There are no implications directly arising from this report. EA not applicable
Human Rights:	There are no implications directly arising from this report.
Health and Safety:	There are no implications directly arising from this report.
<b>Statutory Officers' Comments:-</b>	
Head of Paid Service:	The report is satisfactory.
Chief Finance Officer:	The report is satisfactory.
Monitoring Officer:	The report is satisfactory.
<b>Consultees:</b>	None.
<b>Background Papers:</b>	None.
<b>Appendices:</b>	<b>1.</b> Internal Audit Progress Update (January 2024)

## **1. Information**

- 1.1 The Internal Audit Progress Report attached at **Appendix 1** provides an overall summary of the work undertaken to date relating to the Audit Plan. The progress report covers the work carried out during the period October to December 2023.
- 1.2 The report identifies progress made in relation to completion of work from the Council's 2023/24 Internal Audit Plan and brings to your attention matters relevant to your responsibilities as members of the Audit Committee.
- 1.3 Internal Audit progress reports are presented to every meeting of the Audit Committee so Members can be kept up to date with Internal Audit work and findings.
- 1.4 Under the Local Government Act 1972, Section 151 and the Accounts and Audit (England) Regulations 2011(as amended), the Council has a responsibility to maintain an adequate and effective Internal Audit function. At Oadby and Wigston Borough Council this responsibility is delivered by 360 Assurance.
- 1.5 In responding to this requirement, the Internal Audit service works to best practice as set out in the Public Sector Internal Audit Standards (2017), which is published by the Chartered Institute of Public Finance and Accountancy (CIPFA).