






Strategic Risk Register																					
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	What is the headline risk/issue?		What is the root cause or problem?	What could occur as a result, how much of a problem would it be?	(no controls)				(with controls)												
			What could go wrong?		Likelihood	Impact	Overall risk rating		Likelihood	Impact	Overall risk rating				Likelihood	Impact	Overall risk rating				
CR1	Decreasing Financial resources / Increasing Financial Pressures	Resourceful and Resilient	<div><input type="checkbox"/> Increase demand for services e.g. benefits</div> <div><input type="checkbox"/> Continuing Austerity</div> <div><input type="checkbox"/> Political promises</div> <div><input type="checkbox"/> Change in priorities</div> <div><input type="checkbox"/> Deflated housing market</div> <div><input type="checkbox"/> Lack of business growth</div> <div><input type="checkbox"/> Further changes in legislation</div> <div><input type="checkbox"/> Pooling/Unpooling of NNDR</div> <div><input type="checkbox"/> Universal Credit</div> <div><input type="checkbox"/> Political hesitancy in decision making for fundamental service reform.</div> <div><input type="checkbox"/> Cost-of-living crises</div> <div><input type="checkbox"/> Supplier price variations as a result of inflation</div> <div>Spending Review</div> <div>Fair Funding Review</div> <div>Homelessness</div> <div>Government Grants</div>	<div><input type="checkbox"/> Cuts in services</div> <div><input type="checkbox"/> Political and customer expectations not met</div> <div><input type="checkbox"/> Quality of service</div> <div><input type="checkbox"/> Reputation damage</div> <div><input type="checkbox"/> Knock on impact on the local community and economy e.g. spiral effect</div> <div><input type="checkbox"/> Legal challenge,</div> <div><input type="checkbox"/> Reduction in rent/monies owed to the council through the introduction of UC,</div> <div><input type="checkbox"/> Increased homelessness adding stresses to council finances and the local economy.</div> <div><input type="checkbox"/> Supplier price variations significant.</div> <div>Devolution /LGR</div> <div>Reduction in Government Funding</div> <div>Reduction in NNDR (pooling)</div> <div>Further budget pressures/ larger budget gap - further sustainability plans needed.</div>	5	4	20	<div><input type="checkbox"/> Budgetary Control processes and committee reporting</div> <div><input type="checkbox"/> Medium Term Financial Strategy and HRA Business Plan - including scenario planning</div> <div><input type="checkbox"/> Setting and monitoring of savings and efficiency targets</div> <div><input type="checkbox"/> Annual Fees and Charges review</div> <div><input type="checkbox"/> Disclosure of expenditure over £250</div> <div><input type="checkbox"/> Review of reserves and balances</div> <div><input type="checkbox"/> Treasury Management and Investment Strategy</div> <div><input type="checkbox"/> Prudential Indicators</div> <div><input type="checkbox"/> Revised Financial Regulations</div> <div><input type="checkbox"/> Business Rates Pooling</div> <div><input type="checkbox"/> Procurement Policy,</div> <div><input type="checkbox"/> Homelessness team increased</div> <div><input type="checkbox"/> Assessment of viability of capital projects.</div> <div><input type="checkbox"/> Financial Inclusion Officer assists vulnerable residents</div> <div><input type="checkbox"/> Service/Review Transformation Programme across the council to improve service delivery</div> <div><input type="checkbox"/> Ongoing impacts of inflation captured in medium term financial plan.</div> <div><input type="checkbox"/> New MTFS approved February 2025</div> <div><input type="checkbox"/> Engagement with MP, LGA and MHCLG about the council's unique financial Sustainably Programme now</div> <div><input type="checkbox"/> The savings targets are constantly monitored by SLT and is a standing item on SLT agenda and opportunities for innovation are regularly sought such as winning an award to install solar panels at Wigston Pool and parklands, therefore reducing energy costs for the Council.</div> <div>Final budget presented to full council in February 2025.</div> <div>Financial challenges of Devolution to be managed through budget set aside from reserves.</div> <div>Vacancy Management</div> <div>Part of the S151 Leicestershire Group/SDCT</div> <div>Marketing of public spaces for income generation</div> <div>Homelessness Strategy, purchasing of additional properties, leasing properties, reducing use of B&B</div>	4	4	16	<div><input type="checkbox"/> Delivery of Service Delivery Changes – Financial Sustainability Plan.</div> <div>Regular review of savings targets.</div> <div>New PID developed including all aspects linked to sustainability of the Councils finances, eg establishments, contracts etc</div> <div>Final Budget for 2025/26 approved at Full Council in February 2025.</div> <div>Monitoring of reserves quarterly - set amount for Devolution with further requirements to be brought to members for approval.</div> <div>Complete Government surveys, monitor information from Government Sustainability Plan reviewed by SLT in Qtr 1 24/25</div> <div>Addition of Homelessness Officer in 26/27 to purchase properties for homelessness, use of grants available from Government.</div>	<div><input type="checkbox"/> Monthly reviews 2025</div>	4	4	16	Chief Financial Officer	Mar-26	Updated existing controls to remove historic / controls no longer relevant. The delivery of Service Delivery Changes – Financial Sustainability Plan, where activity is well under way. New PID completed.	<div></div>	
CR2	Key Supplier Failure	Our Borough - the place to be. Resourceful and Resilient.	Business failure	<div><input type="checkbox"/> Cost implications</div> <div><input type="checkbox"/> Business Continuity</div> <div><input type="checkbox"/> Loss of revenue</div> <div><input type="checkbox"/> Service failure</div> <div><input type="checkbox"/> TUPE issues</div> <div><input type="checkbox"/> Potential court action</div> <div><input type="checkbox"/> Increased complaints</div> <div><input type="checkbox"/> Reputation issues</div> <div><input type="checkbox"/> Political damage</div> <div><input type="checkbox"/> Delays</div> <div>Impact of TUPE</div> <div>Inability to process payroll</div>	5	4	20	<div><input type="checkbox"/> Formal contracts and agreements including realistic notice periods</div> <div><input type="checkbox"/> Tender arrangements and pre qualification financial assessments</div> <div><input type="checkbox"/> Qualified internal officers to provide legal advice</div> <div><input type="checkbox"/> Use of external counsel</div> <div><input type="checkbox"/> Performance management of contracts, Comprehensive Contract Register, Partnership working with Local Authority Partners</div> <div><input type="checkbox"/> Part of management Board to oversee delegated services such as Lightbulb and Building Control.</div> <div><input type="checkbox"/> Creation of Project and Procurement Team and Procurement Policy promotes use of established frameworks, thus reducing risk of supplier failure.</div> <div><input type="checkbox"/> Partnership and contract risk registers</div> <div><input type="checkbox"/> Contract term renegotiation with key providers as necessary</div> <div><input type="checkbox"/> Contract Management review is undertaken as part of the Sustainability Programme</div> <div><input type="checkbox"/> Contracts information has been incorporated into draft service plan template.</div> <div><input type="checkbox"/> Contracts an item on 1-2-1's.</div> <div><input type="checkbox"/> Specialists engaged where required i.e. Leisure Operator Contract (LOC) negotiations.</div> <div><input type="checkbox"/> LOC Contract renegotiation approved at Council 12 December 2023 and SLM has agreed to changes.</div> <div>Formal Contract management training has been rolled out via CMPP</div> <div>Contract Procedure Rules training to be rolled out Summer 25</div> <div>Contract Assurance Board meeting September 25</div>	4	3	12	<div><input type="checkbox"/> Contract review complete (Sustainability programme).</div> <div>Further external review of our contract management process and support with improvements (CMPP)</div> <div>Updating of Contract Procedure Rules went to PFD in June 25</div> <div>Contract Assurance Board meetings to be held Bi-annually from Sept 25</div>	Monthly reviews	2	2	4	CFO/Monitoring Officer	Mar-26	Updated management control	<div></div>	

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	What is the headline risk/issue?				Likelihood	Impact	Overall risk rating		Likelihood	Impact	Overall risk rating			Likelihood	Impact	Overall risk rating				
CR3	Failure to work effectively with other public sector partner organisations (PSOs) and 3rd sector organisations	Our Borough - the place to be. Resourceful and Resilient.	<div><input type="checkbox"/> Poor service delivery from PSOs the Council has agreements with</div> <div><input type="checkbox"/> Lack of engagement from partner PSOs</div> <div><input type="checkbox"/> Governance arrangements which foster effective relationships may be inadequate leading to relationship breakdown</div> <div>Failure of relationships at strategic level in County & across members</div>	<div><input type="checkbox"/> Loss of public confidence in Community Safety Partnership</div> <div><input type="checkbox"/> Loss of funding for LLR Sports Alliance partnership</div> <div><input type="checkbox"/> May not realise potential economies of scale</div> <div><input type="checkbox"/> Impact on staff morale</div>	4	3	12	<div><input type="checkbox"/> Formal agreements with public sector partners which clearly identify roles & responsibilities including H&WB, Lightbulb, Building Control and Local Land Charges.</div> <div><input type="checkbox"/> Governance arrangements which manage performance against agreements</div> <div><input type="checkbox"/> Lead officer arrangements/contract manager</div> <div><input type="checkbox"/> Financial controls ensuring payments are only authorised where service being delivered by partner organisation is received and is of appropriate quality</div> <div><input type="checkbox"/> Strategic Planning Group - governance arrangements are in place for this.</div> <div>Member Advisory Group also in place Performance of these arrangements is formally reviewed and changes are made if necessary.</div> <div><input type="checkbox"/> Regular account meetings with contracted 3rd sector organisations.</div> <div><input type="checkbox"/> Service Level Agreements in place where necessary.</div> <div>Cost of Living support programme has funded 3rd sector organisations and support for warm hubs.</div> <div>Contract review complete.</div> <div>OWBC representation at all Partnership Executive Board Meetings.</div> <div>Working with all districts and boroughs across Leicestershire and Rutland.</div> <div>Partners attended Service Committee Meeting in September.</div>	2	3	6	Partnership Consultaion planned for Quarter 3. Development of our offer to all partners also to be finalised in this quarter.	Monthly reviews	2	3	6	Strategic Director/Monitoring Officer	Mar-26	Updated management control	<div></div>
CR4	Hard to reach demographics feel disenfranchised through lack of specific communication and engagement.	Our Borough - the place to be. Resourceful and Resilient.	<div><input type="checkbox"/> Staff capacity could impact on engagement</div> <div><input type="checkbox"/> hard to reach communities</div> <div><input type="checkbox"/> some resident groups not digitally connected</div> <div><input type="checkbox"/> change in political power could result in non-statutory service being stopped</div> <div><input type="checkbox"/> Failure to consult when appropriate to do so</div> <div><input type="checkbox"/> Funding changes impacting on roles</div> <div><input type="checkbox"/> Digital exclusion as a result of cost of living crises</div>	<div><input type="checkbox"/> Reputational damage</div> <div><input type="checkbox"/> lack of support for community initiatives</div> <div><input type="checkbox"/> missed opportunity to impact on equalities agenda and HWB of residents</div> <div><input type="checkbox"/> Citizens panel not representative of demographic.</div> <div><input type="checkbox"/> Services may not meet the needs of this demographic</div>	4	4	16	<div><input type="checkbox"/> Public consultation surveys to obtain feedback for influencing strategy/policy through Citizens Panel and other communication channels, compliant with the Code of Practice on Consultations</div> <div><input type="checkbox"/> Communications Strategy in place</div> <div><input type="checkbox"/> Gov.Delivery digital e-mail system – targeted delivery on specific topics e.g. tenants newsletter in place</div> <div><input type="checkbox"/> Financial Inclusion Officer</div> <div><input type="checkbox"/> Volunteer community champion Digital Newsletter - specifically relating to health and wellbeing targeting socially vulnerable</div> <div><input type="checkbox"/> Events programme run by Community Health Improvement Officers to target vulnerable and hard to reach residents/communities.</div> <div><input type="checkbox"/> Statement of community involvement in place</div> <div><input type="checkbox"/> Residents Forums meet regularly</div> <div><input type="checkbox"/> Sports and physical activity commission plan to target hard to reach</div> <div><input type="checkbox"/> Customer Experience Strategy in place</div> <div><input type="checkbox"/> Appointment hubs in operation as well as reception point open at Brockshill</div> <div><input type="checkbox"/> Website offers the facility to change language.</div> <div><input type="checkbox"/> Use language line for translation services.</div> <div><input type="checkbox"/> Tenant & Leaseholder focus group established and meeting on a quarterly basis.</div> <div><input type="checkbox"/> Language Translation Service incorporate.</div> <div>All households are to receive 2*letter communications regarding change to the bin collection regime.</div> <div><input type="checkbox"/> Customer Experience Strategy Action Plan being monitored</div> <div><input type="checkbox"/> Housing Regulators new Tenant Satisfaction collected</div> <div>Virtual Appointments to increase customer contact have commenced</div> <div>Volunteer event took place in November 24.</div> <div>Volunteer thank you lunch took place in June 25</div> <div>Engaging with Local Communities in relation to LGR, Tenant Forum representation and young people.</div> <div>Volunteer event took place in Nov 25</div>	2	2	4		Jun-25	1	2	3	Head of Customer Service and Transformation	Mar-26	Updated management control	<div></div>
CR5	Political Dynamics	Our Borough - the place to be. Resourceful and Resilient.	<div><input type="checkbox"/> Change in political power</div> <div><input type="checkbox"/> Change in leader</div> <div><input type="checkbox"/> New members</div> <div><input type="checkbox"/> Public perception changes</div> <div>Government changes</div>	<div><input type="checkbox"/> Change in priorities</div> <div><input type="checkbox"/> Change in member/officer engagement</div> <div><input type="checkbox"/> Breakdown in communication</div> <div><input type="checkbox"/> Inability to meet expectations</div> <div><input type="checkbox"/> Reputation issues (organisational and political)</div> <div><input type="checkbox"/> Reactive decision making (rather than planned)</div> <div><input type="checkbox"/> Failure to follow legislative requirements e.g. equalities</div> <div><input type="checkbox"/> Further strain on council finances</div>	3	4	12	<div><input type="checkbox"/> Member development programmes</div> <div><input type="checkbox"/> New Code of Conduct has been adopted which comes into force on 1/4/22, with training planned to familiarise members with its content</div> <div><input type="checkbox"/> Policies e.g. Safeguarding/Equalities and DBS checks</div> <div><input type="checkbox"/> Provision of chairing skills training</div> <div><input type="checkbox"/> Constitution, which is in the process of being reviewed to reflect new management structure</div> <div><input type="checkbox"/> Public consultation,</div> <div><input type="checkbox"/> Development of member enquiry system</div> <div><input type="checkbox"/> Training for members covering a range of areas including IT and Planning</div> <div><input type="checkbox"/> Buddying system in place for Elected Members to use</div> <div><input type="checkbox"/> Members bulletin</div> <div><input type="checkbox"/> Customer Service training and Political awareness training has been undertaken</div> <div><input type="checkbox"/> Member and officer training undertaken with Centre for Governance and Scrutiny November 2022</div> <div><input type="checkbox"/> Full review of members induction programme has been completed.</div> <div><input type="checkbox"/> Induction training delivered for new members during May to July 2023.</div> <div><input type="checkbox"/> SLT/Member workshops July, Sept. Nov <input type="checkbox"/> CMT/Member training sept 'meet the teams'.</div> <div><input type="checkbox"/> OFLOG Self Assessment presented to Full Council in April 2024.</div> <div>Member Working Group established to engage with the Alternate Weekly Waste Collection Project</div> <div>Member Advisory Group in place, funded by UK Government projects.</div>	3	3	9	<div><input type="checkbox"/> New Corporate Strategy to be presented to Members - presented 16/7/24 at full council</div> <div><input type="checkbox"/> Planning Member training externally facilitated</div> <div>Audit Committee training to be investigated (CIPFA)</div>	Ongoing	2	3	6	Monitoring Officer	Mar-26	Updated management controls and updated further actions	<div></div>

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CR6	Reputation Damage	Our Borough - the place to be. Resourceful and Resilient.	<div><input type="checkbox"/> Litigation</div> <div><input type="checkbox"/> Breakdown in a partnership</div> <div><input type="checkbox"/> Failure to have regard to officers advice</div> <div><input type="checkbox"/> Whistle blowing</div> <div><input type="checkbox"/> Freedom of Information (Fol)</div> <div><input type="checkbox"/> Inconsistent decision making</div> <div><input type="checkbox"/> Poor Media Relations</div> <div><input type="checkbox"/> Poor communication</div> <div><input type="checkbox"/> Failure to provide or reduce services</div> <div><input type="checkbox"/> Poor performance</div> <div><input type="checkbox"/> Poor business planning and consideration of financial implications</div> <div><div><input type="checkbox"/> Intervention</div><div><input type="checkbox"/> Loss of public confidence</div><div><input type="checkbox"/> Ombudsman findings</div><div><input type="checkbox"/> Court costs</div><div><input type="checkbox"/> Quality of service affected</div><div><input type="checkbox"/> Breakdown in a partnership</div><div><input type="checkbox"/> Adverse publicity</div><div><input type="checkbox"/> Lower public satisfaction level</div><div><input type="checkbox"/> Time spent mitigating damage/rectifying the situation</div><div><input type="checkbox"/> Low Morale</div><div><input type="checkbox"/> Difficulties to recruit/staff retention</div><div><input type="checkbox"/> Inadequate budget provision, inappropriate financial decisions made</div></div> <div><div>4</div><div>4</div><div>16</div></div> <div><div><input type="checkbox"/> Review of external communication by Heads of Service</div><div><input type="checkbox"/> Use of modern.gov</div><div><input type="checkbox"/> Whistle blowing and Anti Fraud and Corruption policies</div><div><input type="checkbox"/> Freedom of Information log</div><div><input type="checkbox"/> Qualified in house legal team</div><div><input type="checkbox"/> Officer complaints training & new complaints process</div><div><input type="checkbox"/> Performance reporting and Key Performance Indicators</div><div><input type="checkbox"/> Public and media consultation</div><div><input type="checkbox"/> Achieved accreditation for customer service excellence award</div><div><input type="checkbox"/> Communications Policy and Communications Plan in place</div><div><input type="checkbox"/> Online customer care training in place for all new staff and a separate module also in place for managers.</div><div><input type="checkbox"/> Partnership working eg Lightbulb & Local Plan</div><div><input type="checkbox"/> Marketing & Communications Manager</div><div><input type="checkbox"/> Social Media Policy</div><div><input type="checkbox"/> Service Standards</div><div><input type="checkbox"/> Project Comms Plans e.g. external communications regarding alternate weekly waste collection project.</div><div><input type="checkbox"/> Performance Review Plans</div><div><input type="checkbox"/> Standardised project appraisal and affordability approach as per Corporate Peer Review Action Plan built into new MTFS</div><div><input type="checkbox"/> Complaints handling and investigation training for all managers undertaken</div><div><input type="checkbox"/> Safety Risk assessments always consider reputational damage as a risk.</div><div><input type="checkbox"/> Anti Fraud Policy in place.</div><div><input type="checkbox"/> Good relationships built with media i.e. cemetery</div><div><input type="checkbox"/> Consultations conducted i.e budget</div><div><input type="checkbox"/> Recruitment pages revamped on Corporate website and Corporate Strategy published Aug 24</div><div><input type="checkbox"/> Staff Survey and Engagement group</div></div> <div><div>2</div><div>2</div><div>4</div></div> <div>Communications, internal & external throughout 2025 as Districts & Rutland CC Local Government Reorganisation submission progressed and submitted Nov 25. Communications will continue in 2026 as and when government consultation & decisions are made. Member/Officer relations training in Nov 25 by external provider. Further internal session planned on Local Government Association's updated practice guidance in early 2026</div> <div>Ongoing</div> <div><div>2</div><div>2</div><div>4</div></div> <div>Chief Executive</div> <div>Mar-26</div> <div>Updated further controls</div> <div></div>																	
CR7	Effective utilisation of Assets/Buildings (non-housing)	Resourceful and Resilient	<div><input type="checkbox"/> Failure to follow Health and Safety</div> <div><input type="checkbox"/> Insurance/Public Liability</div> <div><input type="checkbox"/> Financial investment</div> <div><input type="checkbox"/> Contractor going into liquidation</div> <div><input type="checkbox"/> Political will</div> <div><input type="checkbox"/> Facility Management</div> <div><input type="checkbox"/> Depreciation</div> <div><div><input type="checkbox"/> Loss of investment opportunities</div><div><input type="checkbox"/> Loss of income</div><div><input type="checkbox"/> Loss of capital</div><div><input type="checkbox"/> Higher revenue costs</div><div><input type="checkbox"/> Costs</div><div><input type="checkbox"/> Death or injury</div><div><input type="checkbox"/> Higher insurance premiums</div><div><input type="checkbox"/> Reputation damage</div><div><input type="checkbox"/> Public liability</div><div><input type="checkbox"/> Personal liability for corporate team e.g. corporate manslaughter</div></div> <div><div>4</div><div>3</div><div>12</div></div> <div><div><input type="checkbox"/> Physical controls (e.g. Door Codes, fire alarms)</div><div><input type="checkbox"/> Designated first aiders</div><div><input type="checkbox"/> Capital Programme and HRA Business Plan - annual reiteration and regular monitoring</div><div><input type="checkbox"/> Fixed Asset Register</div><div><input type="checkbox"/> Annual valuation of property by external valuer</div><div><input type="checkbox"/> Designated Health and Safety Officer</div><div><input type="checkbox"/> Implementation of controls within Health and Safety Executive review</div><div><input type="checkbox"/> Health and Safety risk assessments</div><div><input type="checkbox"/> Designated Facilities Manager</div><div><input type="checkbox"/> Accommodation Reviewed</div><div><input type="checkbox"/> Health and safety assessments carried out on all buildings</div><div><input type="checkbox"/> Move to Bushloe House to Brocks Hill complete</div><div><input type="checkbox"/> Asset Management a theme as part of the Sustainability Programme</div><div><input type="checkbox"/> Health and Safety Action Plan approved by full Council April 2023.</div><div><input type="checkbox"/> Asset Review received end of November - Report to PFDC regarding disposal of assets in Sept 25.</div><div><input type="checkbox"/> Further Asset work to be carried out re sale of garage blocks once transfer to General Fund from HRA complete.</div></div> <div><div>3</div><div>3</div><div>9</div></div> <div><div><input type="checkbox"/> Revision of Asset Management Policy and Capital Expenditure Plan</div><div><input type="checkbox"/> Asset review</div><div><input type="checkbox"/> Holistic Asset Management database/system purchased and timetabled for implementation. Roll out of the system has begun.</div><div><input type="checkbox"/> Completion of the sale of Bushloe House and Oadby Pool site.</div><div><input type="checkbox"/> Marketing all of our assets for hire to generate additional income to support the sustainability plan</div></div> <div>Ongoing</div> <div><div>2</div><div>2</div><div>4</div></div> <div>Monitoring Officer</div> <div>Mar-26</div> <div>Further Management controls updated.</div> <div></div>																	
CR8	Regulatory Governance	Resourceful and Resilient	<div><input type="checkbox"/> New or changes to legislation</div> <div><input type="checkbox"/> Resources (staff)</div> <div><input type="checkbox"/> Failure to identify new legislation</div> <div><div><input type="checkbox"/> Substantial fines e.g. Data Protection</div><div><input type="checkbox"/> Judicial review</div><div><input type="checkbox"/> Reputation</div><div><input type="checkbox"/> Code of conduct</div><div><input type="checkbox"/> Financial loss</div><div><input type="checkbox"/> Cost orders</div><div><input type="checkbox"/> Personal liability</div></div> <div><div>3</div><div>4</div><div>12</div></div> <div><div><input type="checkbox"/> Data Protection Policy and log</div><div><input type="checkbox"/> Freedom of Information log</div><div><input type="checkbox"/> Code of Conduct and training</div><div><input type="checkbox"/> HR Induction</div><div><input type="checkbox"/> Statutory Monitoring Officer</div><div><input type="checkbox"/> Subscriptions (e.g. legal journals and LGA) and CPD of legal officers</div><div><input type="checkbox"/> Prosecution Policy</div><div><input type="checkbox"/> Purchased GDPR/DPA learning modules to be rolled out in the future.</div><div><input type="checkbox"/> Appraisal training and 1-2-1 training has been rolled out. to all managers.</div><div><input type="checkbox"/> New Social Housing Regulation Act 2023 strengthens the regulation regime associated with the Council as a social landlord. A full inspection by the regulator can be expected by end of 2026 - Housing Manager is preparing for mock-inspection during 2024.</div><div><input type="checkbox"/> Building Safety Act 2022 places increased compliance responsibilities and scrutiny on Building Control and the construction industry generally and the Leicestershire Building Control Partnership has put in place an action plan to manage this risk</div><div><input type="checkbox"/> Reviewed and improved HR induction approach.</div><div><input type="checkbox"/> Key managers trained in undertaking investigations and Disciplinarys.</div><div><input type="checkbox"/> Having Difficult Conversations rolled out to relevant managers.</div><div><input type="checkbox"/> Building Control Partnership has been audited and shows no major area of concern.</div><div><input type="checkbox"/> New IT policies re usage and AI rolled out Dec 25</div></div> <div><div>1</div><div>1</div><div>1</div></div> <div><div><input type="checkbox"/> Refresher training on GDPR/DPA to be completed by all.</div><div><input type="checkbox"/> Resources in Housing Team to be focused around meeting the requirements of the new act.</div><div><input type="checkbox"/> Mandatory Bullying Harrassment and Dignity Respect training to be rolled out from June 2025</div><div><input type="checkbox"/> IT policies to be rolled out in Sept 25</div></div> <div><input type="checkbox"/> Summer 2025</div> <div><div>1</div><div>1</div><div>1</div></div> <div>Monitoring Officer</div> <div>Mar-26</div> <div>Existing Control and further actions have been updated</div> <div></div>																	

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CR9	Failure to respond to a significant incident	Resourceful and Resilient	<div><div><div><div><div><div>❑ Loss of staff</div><div>❑ Loss of ICT</div><div>❑ Loss of Building</div><div>❑ Loss of Key supplier</div><div>❑ Loss of facilities</div><div>❑ Loss of systems</div><div>❑ Act of God</div><div>❑ Adverse Weather</div><div>❑ Pandemic</div></div><div>❑ Adverse staff impact as a result of cost of living</div></div></div><div><div><div>❑ Insurance – higher premiums</div><div>❑ Loss of essential services</div><div>❑ Adverse publicity</div><div>❑ Reputation damage</div><div>❑ Loss of public confidence</div><div>❑ Loss of income</div><div>❑ Financial damage</div><div>❑ Death and injury</div><div>❑ Litigation risks</div></div><div>Insurance – higher premiums</div><div>❑ Loss of essential services</div><div>❑ Adverse publicity</div><div>❑ Loss of public confidence</div><div>❑ Financial damage</div><div>❑ Death and injury</div><div>❑ Litigation risks</div><div>❑ Staff unavailable after major incident</div><div>❑ large proportion of staff becoming ill</div></div></div></div> <div><div>❑ Insurance policies and annual review - new insurers from 1 Jan 2024</div><div>❑ Risk Management policies and procedures</div><div>❑ Membership of Local Resilience Forum</div><div>❑ Standby rota</div><div>❑ IT backup, Business Continuity Plans in place</div><div>❑ Community Engagement with Health professionals</div><div>❑ Agile Working Policy</div><div>❑ Coordination of Out of Hours Service</div><div>❑ Additional training from Leicestershire Resilience Partnership underway (incl power lose scenario)</div><div>❑ Business Continuity training exercise and refresh undertaken December 2022</div><div>❑ BC Plans refresh and incorporated into service plans</div><div>❑ SLT and CMT additional training scheduled with LRF</div><div>Mighty Oak national power outage LRF Training exercise</div><div>❑ Brockshill project has incorporated business continuity improvements inc generator if power outage.</div><div>❑ New accident investigation form</div><div>❑ Refresher of Health and Safety Training</div><div>❑ Health and Safety face to face training for manual workers delivered.</div><div>BCPs being reviewed; Implications from Martyn's Law have been considered.</div><div>❑ New insurance processes being rolled out to operational staff</div><div>Foremost Security to carry out any Out of Hours calls for our assets.</div><div>Emergency Centre resilience training taking place June 25</div><div>Protect Training has now been carried out.</div><div>SLT review of the flooding incident in January 2025, recording what went well and aareas for improvement. In September 2025 we will be part of the LLR wide Operation Pegasus.</div><div>Cyber attack workshops held</div></div> <div>2</div> <div>3</div> <div>6</div>	Sep-25	2 <div>3</div> <div>6</div> <td>Monitoring Officer</td> <td>Mar-26</td> <td>Existing controls uand further management actions are updated</td> <td><div></div></td>	Monitoring Officer	Mar-26	Existing controls uand further management actions are updated	<div></div>									
CR10	Organisational/ Transformational Change	Our Borough - the place to be. Resourceful and Resilient.	<div><div><div><div><div><div>❑ Restructure</div><div>❑ Transformational change</div><div>❑ Transferable skills</div><div>❑ Reduction in funding</div><div>❑ Change in personnel</div><div>❑ Change in the way the council delivers services</div><div>❑ Redundancy</div><div>❑ Less controls in place due to limited resources</div><div>❑ Change in office location</div></div><div>Devolution/Local Government Reform</div></div></div><div><div><div>❑ Redundancy</div><div>❑ Staff morale</div><div>❑ Staff retention</div><div>❑ Change in working practices</div><div>❑ Impact on quality of service</div><div>❑ Legal implications</div><div>❑ HR implications</div><div>❑ Reputation damage/perception</div><div>❑ Financial loss</div><div>❑ Possible litigation</div><div>❑ Increased fraud</div></div><div>Increased workload</div></div></div></div> <div><div>❑ Organisation review policy</div><div>❑ Recruitment and selection policies and procedures</div><div>❑ Union and staff consultation</div><div>❑ Staff Wellbeing Group and Wellbeing Teams</div><div>❑ Staff Health and Wellbeing Action Plan</div><div>❑ Internal Audit</div><div>❑ Staff newsletters</div><div>❑ Monitoring and supervision of management/1:1's</div><div>❑ Training and professional qualification support</div><div>❑ Performance appraisal process</div><div>❑ Formal induction programme</div><div>❑ Comms plans for key projects - includes PR, Public, Staff and member and other stakeholder comms plans</div><div>❑ Staff engagement on organisational culture and values as part of People Strategy undertaken</div><div>❑ Introduction of live vacancy management plan with SLT</div><div>❑ People Strategy approved by PFD 12/09/2023</div><div>❑ Organisation Strategy update completed.</div><div>❑ Clean & Green / Corporate Assets Service Review and Revs and Bens review underway /</div><div>Service changes post budget approval are well underway . Project Management approach has been refined; Joint SLT/CMT Meetings are being programmed into diaries focusing on finance and performance/ Sustainability Plan is a standing item on SLT agenda</div><div>Health Wellbeing Action Plan agreed in Feb 2025</div><div>SLT structure reviewed due to retirement, new structure in place from June 2025 .</div><div>Neighbourhood Services Review completed in Quarter 2.</div></div> <div>3</div> <div>3</div> <div>9</div> <div>3</div> <div>2</div> <div>6</div> <div><div>❑ Organisation Strategy to be approved.</div><div>❑ Service Reviews being undertaken.</div><div>Sustainability Plan Review /ongoing</div><div>Management plan developed and implemented for Waste Transformation.</div><div>Opportunities being considered for joint working with other local authorities.</div><div>ILM Courses to be provided for all managers across the organisation to put them in a good postion regarding LGR and future job opportunities. (These will commence in 2026).</div></div> <div>January 2025</div> <div>❑ Ongoing</div> <div>2</div> <div>2</div> <div>4</div> <td>Strategic Director</td> <td>Mar-26</td> <td>Existing controls updated for progress against budget/service implications and revised PPM approach and senior management meetings. Waste trnsformationn project has been delivered within timesacle. Agreement reached for Blaby DC to pay for additional days of IT support. Resilience Planning Paper to be taken to SLT in Quarter 3 to agree priority areas and posts to support this work.</td> <td><div></div></td>	Strategic Director	Mar-26	Existing controls updated for progress against budget/service implications and revised PPM approach and senior management meetings. Waste trnsformationn project has been delivered within timesacle. Agreement reached for Blaby DC to pay for additional days of IT support. Resilience Planning Paper to be taken to SLT in Quarter 3 to agree priority areas and posts to support this work.	<div></div>											

Strategic Risk Register																				
Ref	Risk Definition	2019-2024	Root Cause:	Consequence /effect:	Inherent Risk Score			Existing Controls (sources of assurance)	Mitigated Risk Score			Further management actions/controls	Target Implementation date for further mgt actions	Target Score with further management actions/			Risk Owner	Review Date	Review Commentary	Direction of Travel of mitigated risk score
	What is the headline risk/issue?		What is the root cause or problem?	What could occur as a result, how much of a problem would it be?																
			What could go wrong?		Likelihood	Impact	Overall risk rating		Likelihood	Impact	Overall risk rating			Likelihood	Impact	Overall risk rating				
CR11	Economy/ Regeneration	Resourceful and Resilient	<div><input type="checkbox"/> Further decline in the economy BREXIT COVID Ukraine/Russia conflict and also energy costs crisis (uncertainty of cost impact)</div> <div><input type="checkbox"/> Pooling/Unpooling of NNDR</div> <div><input type="checkbox"/> Cost of living Crisis</div> <div>Economic Regeneration Manager and Economic Development posts removed from establishment to enable savings to Council budget</div> <div>Conflict in the Middle East could give further inflation rises (june 25)</div>	<div><input type="checkbox"/> Relocation (Business and Domestic)</div> <div><input type="checkbox"/> Lack of inward investment</div> <div><input type="checkbox"/> Increased demand for certain services e.g. benefits</div> <div><input type="checkbox"/> Loss of value in public assets</div> <div><input type="checkbox"/> Need to continually adapt/change</div> <div><input type="checkbox"/> Conflicting pressures - decreased funding – increased demand</div> <div><input type="checkbox"/> Spiral effect</div> <div><input type="checkbox"/> Short term decision making – uncertainty</div> <div><input type="checkbox"/> Increased autonomy leads to greater risk</div> <div><input type="checkbox"/> Decrease in collection levels</div>	5	4	20	<div><input type="checkbox"/> Demand management of services that come under pressure as a result of decline in economy</div> <div><input type="checkbox"/> Debt Recovery Policy in place</div> <div><input type="checkbox"/> Local Council Tax and Business Rate Retention scheme in place</div> <div><input type="checkbox"/> Contract monitoring of bailiffs</div> <div><input type="checkbox"/> Paying out business grants to support local businesses during pandemic</div> <div><input type="checkbox"/> Regular programme of business webinars to provide information and support to businesses</div> <div><input type="checkbox"/> Monthly newsletter issued to businesses</div> <div><input type="checkbox"/> Helping Hands - energy champion</div> <div><input type="checkbox"/> Business microsite live and accessible via Council's website.</div> <div><input type="checkbox"/> UKSPF Investment Plan 2022 to 2025 implemented</div> <div><input type="checkbox"/> Levelling Up bid developed in partnership with The University of Leicester and ready to submit if an opportunity arises. Currently supporting University in investigating a Heritage Lottery Fund bid</div> <div><input type="checkbox"/> Capital Projects Sub-committee established</div> <div><input type="checkbox"/> Cost of Living report to members sets our proposal to review discretionary rates relief which may provide additional relief to businesses.</div> <div><input type="checkbox"/> Business Networking Event held 14/09/2023 - 80 businesses attended and a programme in place to deliver more on a recurring basis.</div> <div><input type="checkbox"/> Business Improvement District - Phase feasibility completed and to be progressed during 2024 - businesses voted not to implement</div> <div>Horsewell Lane Business Case agreed by Members - approach made to Homes England for potential funding. Awaiting details of new Government funding available.</div> <div>Discussions with members held regarding developing a 25/26 programme for the UKSPF funding. UKSPF programme has been singed off and is progressing well.</div> <div>In order to mitigate the risk of there being no single manager for the UKSPF programme and to provide advice to businesses inthe Borough funding has been identified to recruit an Economic Development Manager as a 2 year fixed term post on a zero hours contract from June 20205.</div> <div>Qtr 3 and 4 monitoring submitted on time.</div> <div>A Business Advisor has been recruited from October 2025 to 31st March 2026</div>	4	4	16	Monitor progress against ER Strategy & UKSPF Programme. Project review meetings undertaken with all UKSPF funded projects to ensure outcomes and expenditure will be achieved by 31/03/26.	Ongoing	2	3	6	Head of Built Environment	Mar-26	Consideration needs to be given as part of the 26/27 budget setting process relating to UKSPF funded projects after 31/3/26 as there is currently no indication that this funding stream will continue beyond that date.	
CR12	Increased Fraud	Resourceful and Resilient	<div><input type="checkbox"/> Dilution of internal controls due to less staff</div> <div><input type="checkbox"/> Increase in unemployment</div> <div><input type="checkbox"/> Reduction in benefits</div> <div><input type="checkbox"/> Inflation</div> <div><input type="checkbox"/> Debt</div> <div><input type="checkbox"/> Opportunity</div> <div><input type="checkbox"/> Sub-letting of Council properties</div>	<div><input type="checkbox"/> Homelessness, poverty and social deprivation</div> <div><input type="checkbox"/> Financial loss</div> <div><input type="checkbox"/> Resources of the authority to investigate fraud issues</div> <div><input type="checkbox"/> Reputation impact</div> <div><input type="checkbox"/> Litigation</div>	3	3	9	<div><input type="checkbox"/> Internal and External Audit</div> <div><input type="checkbox"/> Financial Regulations</div> <div><input type="checkbox"/> Segregation of Duties</div> <div><input type="checkbox"/> Supervision and Management</div> <div><input type="checkbox"/> Investigation and disciplinary procedures</div> <div><input type="checkbox"/> Litigation</div> <div><input type="checkbox"/> Anti Fraud and Corruption Policy</div> <div><input type="checkbox"/> Whistle blowing process</div> <div><input type="checkbox"/> Tone from the top - no tolerance</div> <div><input type="checkbox"/> Budgetary Control</div> <div><input type="checkbox"/> Participation in National Fraud Initiative</div> <div><input type="checkbox"/> Transaction review (e.g. invoices/mileage)</div> <div><input type="checkbox"/> All related Policies to be reviewed and an annual rolling training programme to be implemented.</div> <div><input type="checkbox"/> Anti Fraud Policy reviewed and approved PFD July 2023</div> <div><input type="checkbox"/> Fraud Awareness Training rolled out.</div>	2	3	6	<div><input type="checkbox"/> Update of anti-fraud activities to Audit Committee</div>	Jan-26	2	2	4	Chief Financial Officer	Mar-26		

Risk Register - risk level and scoring								
IMPACT			Impact					
Level	Score	Description		1	2	3	4	5
Insignificant	1	<ul style="list-style-type: none"> no impact on service no impact on reputation complaint unlikely litigation risk remote 		5	10	15	20	25
Minor	2	<ul style="list-style-type: none"> slight impact on service slight impact on reputation complaint possible litigation possible 		4	8	12	16	20
Moderate	3	<ul style="list-style-type: none"> some service disruption potential for adverse publicity - avoidable with careful handling complaint probable litigation probable 		3	6	9	12	15
Major	4	<ul style="list-style-type: none"> service disrupted adverse publicity not avoidable (local media) complaint probable litigation probable 		2	4	6	8	10
Extreme / Catastrophic	5	<ul style="list-style-type: none"> service interrupted for significant time major adverse publicity not avoidable (national media) major litigation expected resignation of senior management and board loss of beneficiary confidence 		1	2	3	4	5

LIKELIHOOD								
Level	Score	Description						
Remote	1	may only occur in exceptional circumstances						
Unlikely	2	expected to occur in a few circumstances						
Possible	3	expected to occur in some circumstances						
Probable	4	expected to occur in many circumstances						
Highly probable	5	expected to occur frequently and in most circumstances						