



Audit Committee	Wednesday, 23 September 2020	Matter for Information
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Report Title: **Internal Audit Progress Report (Q1 2020/21)**

Report Author(s): **Stephen Hinds (Deputy Chief Executive)**

Purpose of Report:	To update the Committee on progress made in delivering the 2020/21 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.
Report Summary:	The Internal Auditors are satisfied that delivery to date of the 2020/21 Audit Plan is in accordance with the delivery profile agreed with management. For this quarter, five reviews have been completed and final reports have been agreed with management.
Recommendation(s):	That the content of the report be noted.
Senior Leadership, Head of Service, Manager, Officer and Other Contact(s):	Stephen Hinds (Deputy Chief Executive) (0116) 257 2681 stephen.hinds@oadby-wigston.gov.uk Mark Watkins (Head of Internal Audit, CW Audit) 07926 252619 mark.watkins@cwaudit.org.uk
Corporate Objectives:	Providing Excellent Services (CO3)
Vision and Values:	Not applicable.
Report Implications:-	
Legal:	There are no implications arising from this report.
Financial:	There are no implications directly arising from this report.
Corporate Risk Management:	Decreasing Financial Resources / Increasing Financial Pressures (CR1) Key Supplier / Partnership Failure (CR2) Reputation Damage (CR4) Effective Utilisation of Assets / Buildings (CR5) Regulatory Governance (CR6) Increased Fraud (CR10)
Equalities and Equalities Assessment (EA):	There are no implications arising from this report. EA not applicable
Human Rights:	There are no implications arising from this report.
Health and Safety:	There are no implications arising from this report.
Statutory Officers' Comments:-	
Head of Paid Service:	The report is satisfactory.

Chief Finance Officer:	As the author, the report is satisfactory.
Monitoring Officer:	The report is satisfactory.
Consultees:	None.
Background Papers:	None.
Appendices:	1. Internal Audit Progress Report (Q1 2020/21)

1. Information

- 1.1 This report summarises the work of Internal Audit for the period covering quarter 1 for 2020/21. A copy of the Internal Audit Progress Report is attached at Appendix 1.
- 1.2 The purpose of the report is to update the Committee on progress made in delivering the 2020/21 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.
- 1.3 The Internal Audit Plan for 2020/21 has been agreed, with Section 5 of Appendix 1 giving details of planned audits over the coming months.
- 1.4 The purpose of the report is to show progress against the Audit Plan and to summarise key findings and conclusions arising from the work performed during the period.