



<b>Audit Committee</b>	<b>Wednesday, 04 September 2019</b>	<b>Matter for Information</b>
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**Report Title:** **Internal Audit Progress Report (Q1 2019/20)**

**Report Author(s):** **Stephen Hinds (Deputy Chief Executive)**

<b>Purpose of Report:</b>	To update the Committee on progress made in delivering the 2019/20 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.
<b>Report Summary:</b>	The Internal Auditors are satisfied that delivery to date of the 2019/20 Audit Plan is in accordance with the delivery profile agreed with management. For this quarter, five reviews have been completed and final reports have been agreed with management.
<b>Recommendation(s):</b>	<b>That the content of the report be noted.</b>
<b>Senior Leadership, Head of Service, Manager, Officer and Other Contact(s):</b>	Stephen Hinds (Deputy Chief Executive) (0116) 257 2681 <a href="mailto:Stephen.Hinds@oadby-wigston.gov.uk">Stephen.Hinds@oadby-wigston.gov.uk</a>  Tim Ridout (Head of Internal Audit, CW Audit Services) 07590 960639 <a href="mailto:Tim.Ridout@cwaudit.org.uk">Tim.Ridout@cwaudit.org.uk</a>
<b>Corporate Objectives:</b>	Providing Excellent Services (CO3)
<b>Vision and Values:</b>	"A Stronger Borough Together" (Vision) Accountability (V1) Innovation (V4) Teamwork (V3) Customer Focus (V5)
<b>Report Implications:-</b>	
Legal:	There are no implications arising from this report.
Financial:	There are no implications arising from this report.
Corporate Risk Management:	Reputation Damage (CR4) Effective Utilisation of Assets / Buildings (CR5) Regulatory Governance (CR6) Organisational / Transformational Change (CR8)
Equalities and Equalities Assessment (EA):	There are no implications arising from this report. EA not applicable
Human Rights:	There are no implications arising from this report.
Health and Safety:	There are no implications arising from this report.
<b>Statutory Officers' Comments:-</b>	

Head of Paid Service:	The report is satisfactory.
Chief Finance Officer:	As the author, the report is satisfactory.
Monitoring Officer:	The report is satisfactory.
<b>Consultees:</b>	None.
<b>Background Papers:</b>	None.
<b>Appendices:</b>	<b>1.</b> Internal Audit Progress Report (September 2019)

## **1. Introduction**

- 1.1 This report summarises the work of Internal Audit for the period covering quarter 1 for 2019/20. A copy of the Internal Audit Progress Report is attached at Appendix 1.
- 1.2 The purpose of the report is to update the Committee on progress made in delivering the 2019/19 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.

## **2. Information**

- 2.1 The Internal Audit Plan for 2019/20 has been agreed, with Section 5 of Appendix 1 giving details of planned audits over the coming months.
- 2.2 The purpose of the report is to show progress against the Audit Plan and to summarise key findings and conclusions arising from the work performed during the period.
- 2.3 The report shows that no audits gave limited assurance.