



**Policy, Finance &  
Development  
Committee**

**28 October 2014**

**Matter for  
Information**

Title: **Internal Audit Progress Report 2014/15**

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## **1. Introduction**

This report summarises the work of Internal Audit for 2014/15, to the end of September, and is attached as Appendix 1.

## **2. Recommendations**

That Members:

- 1 Note the content of the Progress Report for 2014/15.
- 2 Approve the extension of the internal audit contract with CW Audit until 31 March 2016

## **3. Information**

The Internal Audit Plan for 2014/15 totals 257 days and includes 26 reviews that are planned to be conducted in year. To the end of September 2014, Internal Audit has carried out 90 days of work, which is broadly in line with the Audit Plan and have issued one final and four draft reports with five other audits in progress and at various stages of completion.

The contract with CW Audit Services for the provision of an internal audit service expires on 31 March 2015. This was a jointly procured contract with Hinckley and Bosworth Borough Council. Hinckley and Bosworth Borough Council has recently contacted other Leicestershire authorities to see if there is any enthusiasm for a further joint approach, but without any success. This Council's Senior Management Team is of the opinion that with all the other work involvement going on at the Council together with the building up of a positive working arrangement with CW Audit Services that this contract should be extended for the 12 months to 31 March 2016. This would allow time for the procurement process to be undertaken during the next year with the new contract starting on 1 April 2016.

The purpose of the Report is to:

- Show progress against the Audit Plan
- Summarise key findings and conclusions arising from the work performed during the period.

Appendix 1 gives details of the audits completed so far in 2014/15 and provides information on the audit recommendations.

As a further update for Members, it has been confirmed that where the revised date is shown as September or October 2014, in all cases the recommendations are on track.

For the recommendation relating to Building Control use of IDOX data services, this is on track to be completed by December 2014.

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**Background Papers:-**

- Internal Audit 2014/15 Plan.

<b>Implications</b>	
Financial (CR)	No direct financial implications.
Risk (PL)	Internal Audit is a key component of the Council's internal control framework. Outcomes of all internal audit reviews will be considered in the context of the strategic risk register.
Equalities (KG)	No direct implications.
Legal (KG)	No direct implications.